

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LTD & NATIONAL STOCK EXCHANGE OF INDIA LTD	1,025

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K1	Banking activities by Central, Commercial and Saving banks	91.88
2	L	Real Estate	L1	Real estate activities with own or leased property	4.66

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	CINNATOLLIH TEA LIMITED	U15122UP2015PLC069633	Subsidiary	100

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	13,500,000	10,003,687	10,003,687	10,003,687
Total amount of equity shares (in Rupees)	135,000,000	100,036,870	100,036,870	100,036,870

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARE CAPITAL OF RS. 10 EACH				
Number of equity shares	13,500,000	10,003,687	10,003,687	10,003,687
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	135,000,000	100,036,870	100,036,870	100,036,870

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	450,000	240,000	240,000	240,000
Total amount of preference shares (in rupees)	45,000,000	24,000,000	24,000,000	24,000,000

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
PREFERENCE SHARE CAPITAL OF RS. 100 EACH				
Number of preference shares	450,000	240,000	240,000	240,000
Nominal value per share (in rupees)	100	100	100	100
Total amount of preference shares (in rupees)	45,000,000	24,000,000	24,000,000	24,000,000

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						

At the beginning of the year	110,037	9,893,650	10003687	100,036,870	100,036,870	
Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				0	0	
DEMATERIALIZATION						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				0	0	
DEMATERIALIZATION						
At the end of the year	110,037	9,893,650	10003687	100,036,870	100,036,870	

Preference shares

At the beginning of the year	0	150,000	150000	15,000,000	15,000,000	
Increase during the year	0	240,000	240000	24,000,000	24,000,000	0
i. Issues of shares	0	240,000	240000	24,000,000	24,000,000	
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	150,000	150000	15,000,000	15,000,000	0

i. Redemption of shares	0	150,000	150000	15,000,000	15,000,000	
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	240,000	240000	24,000,000	24,000,000	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text"/>
Date of registration of transfer (Date Month Year)	<input type="text"/>
Type of transfer	<input type="text"/> 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

49,367,904

(ii) Net worth of the Company

646,586,117.72

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	283,606	2.84	0	0
	(ii) Non-resident Indian (NRI)	0	0	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0

	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	0	0	0	0
4.	Banks	0	0	0	0
5.	Financial institutions	0	0	0	0
6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	0	0	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	5,431,616	54.3	199,200	83
10.	Others TRUSTS	464,174	4.64	0	0
	Total	6,179,396	61.78	199,200	83

Total number of shareholders (promoters)

25

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	2,327,696	23.27	0	0
	(ii) Non-resident Indian (NRI)	25,468	0.25	0	0
	(iii) Foreign national (other than NRI)	0	0	0	0
2.	Government				
	(i) Central Government	0	0	0	0
	(ii) State Government	0	0	0	0
	(iii) Government companies	0	0	0	0
3.	Insurance companies	343,654	3.44	0	0
4.	Banks	278	0	0	0
5.	Financial institutions	0	0	0	0

6.	Foreign institutional investors	0	0	0	0
7.	Mutual funds	736	0.01	0	0
8.	Venture capital	0	0	0	0
9.	Body corporate (not mentioned above)	501,246	5.01	40,800	17
10.	Others TRUSTS	625,213	6.25	0	0
	Total	3,824,291	38.23	40,800	17

Total number of shareholders (other than promoters)

5,126

**Total number of shareholders (Promoters+Public/
Other than promoters)**

5,151

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	25	25
Members (other than promoters)	5,438	5,126
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	2	0	2	0	2.49
B. Non-Promoter	1	3	1	3	0	0
(i) Non-Independent	1	0	1	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	5	0	2.49

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SANTOSH KUMAR PO	00055786	Managing Director	476	
NANDINI NOPANY	00051362	Director	237,570	
ARUN KUMAR NEWAR	07778968	Director	0	
DHIRAJ RAMAKANT B	07642329	Director	0	
URVI MITTAL	02780842	Director	11,775	
CHHEDI LAL AGARWA	07778603	Director	0	
VIKASH GOYAL	AJQPG3924J	CFO	0	
VIJAYA AGARWALA	ALLPA3380P	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SANTOSH KUMAR PO	00055786	Managing Director	31/03/2021	CHANGE IN DESIGNATION
URVI MITTAL	02780842	Director	23/09/2020	CHANGE IN DESIGNATION

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	23/09/2020	5,654	48	63.7
NCLT CONVENED MEETING	30/01/2021	5,485	57	67.31

B. BOARD MEETINGS

*Number of meetings held

5

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/06/2020	6	6	100
2	04/08/2020	6	5	83.33
3	12/10/2020	6	5	83.33
4	10/11/2020	6	6	100
5	08/02/2021	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

10

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMMITTEE	24/06/2020	4	4	100
2	AUDIT COMMITTEE	04/08/2020	4	3	75
3	AUDIT COMMITTEE	10/11/2020	4	4	100
4	AUDIT COMMITTEE	08/02/2021	4	4	100
5	NOMINATION COMMITTEE	24/06/2020	3	3	100
6	STAKEHOLDER COMMITTEE	24/06/2020	3	3	100
7	STAKEHOLDER COMMITTEE	04/08/2020	3	3	100
8	STAKEHOLDER COMMITTEE	24/08/2020	3	3	100
9	STAKEHOLDER COMMITTEE	10/11/2020	3	3	100
10	STAKEHOLDER COMMITTEE	08/02/2021	3	3	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	26/08/2021
								(Y/N/NA)
1	SANTOSH KUL	5	5	100	9	9	100	Yes
2	NANDINI NOF	5	5	100	0	0	0	No
3	ARUN KUMAR	5	5	100	10	10	100	Yes
4	DHIRAJ RAMA	5	5	100	5	4	80	Yes
5	URVI MITTAL	5	5	100	0	0	0	Yes
6	CHHEDI LAL	5	5	100	10	10	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

5

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	URVI MITTAL	DIRECTOR	0	0	0	25,000	25,000
2	ARUN KUMAR NEV	DIRECTOR	0	0	0	50,000	50,000
3	DHIRAJ RAMAKAN	DIRECTOR	0	0	0	25,000	25,000
4	NANDINI NOPANY	DIRECTOR	0	0	0	25,000	25,000
5	CHEDDI LAL AGAR	DIRECTOR	0	0	0	50,000	50,000
	Total		0	0	0	175,000	175,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

SHRUTI SINGHANIA

Whether associate or fellow

Associate Fellow

Certificate of practice number

18028

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. .. dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

SANTOSH
KUMAR
PODDAR
Digitally signed by
SANTOSH KUMAR
PODDAR
Date: 2021.12.23
14:20:16 +0530'

DIN of the director

To be digitally signed by

VIJAYA
AGARWAL
A
Digitally signed by
VIJAYA AGARWALA
Date: 2021.12.23
14:22:10 +0530'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

Gange_MGT-8.pdf
GANGES TRANSMISSION REGISTER.pdf
Details of Turnover and networth.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

GANGES SECURITIES LIMITED

CIN – L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

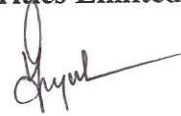
E-mail – gangessecurities@birlasugar.org; Website-www.birla-sugar.com

ANNEXURE TO FORM MGT-7

DETAILS OF FIGURES AS ON 31ST MARCH, 2021

Sl. No.	Particulars	Amount (in Rs.)
1	Turnover	4,93,67,904.00
2	Net worth	64,65,86,117.72
3	Indebtedness including debentures	NIL

For Ganges Securities Limited



Vikash Goyal
Chief Financial Officer



Ganges Securities Limited

Details of shares/debentures transfers from

01/04/2020

To 31/03/2021

Closure Date :

31-03-2020

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
24/08/2020	1	156	000010	000K0003	SETH KORALAL WISHAND	00000040	THE CUSTODIAN OF ENE
24/08/2020	1	78	000010	000S0013	SITARAM MEHTA	00000040	THE CUSTODIAN OF ENE



GANGES SECURITIES LIMITED

CIN – L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – gangessecurities@birlasugar.org; Website-www.birla-sugar.com

NOTE

Please note that due to some technical issue in the e-form, Table IV (i) (d) is reproduced below:

(d) Break-up of paid-up share capital

Class of shares	Number of Shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
EQUITY SHARES						
At the beginning of the year	1,10,037	9,893,650	1,00,03,687	10,00,36,870	10,00,36,870	
Increase during the year						
xxxx						
x. Others, specify (Dematerialisation)	0	397	397			
Decrease during the year						
xxxx						
x. Others, specify (Dematerialisation)	397	0	397			
At the end of the year	1,09,640	98,94,047	1,00,03,687	10,00,36,870	10,00,36,870	
PREFERENCE SHARES						
At the beginning of the year	0	1,50,000	1,50,000	150,00,000	1,50,00,000	
Increase during the year						
i. Issues of shares						
xxxx	0	240,000	240,000	240,00000	240,00000	
Decrease during the year						
i. Redemption of shares	0	150,000	150,000	150,00000	150,00000	
xxxxx						
At the end of the year	0	240,000	240,000	240,00000	240,00000	



Deepak Khaitan & Co. LLP

LLP Identification No. AAU-7316

+91 90070 55560/ 98748 47954/ 033 - 4004 8935 @: khaitan52@gmail.com/officedkk@gmail.com

GEM House, 5B Russell Street, Unit 7B, 7th Floor, Kolkata – 700 071

FORM No. MGT – 8

[Pursuant to Section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

I have examined the registers, records and books and papers of GANGES SECURITIES LIMITED having CIN L74120UP2015PLC069869 (the Company), as required to be maintained under the Companies Act, 2013 (the Act) and Rules made thereunder for the financial year ended on 31st March, 2021 (financial year). The Scheme of Amalgamation between Ganges Securities Limited ("Transferee Company") and Uttar Pradesh Trading Company Limited ("Transferor Company") and their respective Shareholders and Creditors under Section 230 to 232 of the Companies Act, 2013 and other relevant provisions of the Companies Act, 2013 has been approved by Hon'ble National Company Law Tribunal, Allahabad Bench vide order passed on 3rd September, 2021. The Company had applied for voluntary delisting of shares from The Calcutta Stock Exchange Ltd. (CSE). CSE has delisted the Company from the official list of Exchange w.e.f. 26th February, 2021 under SEBI (Delisting of Equity Shares) Regulations, 2009. In my opinion and to the best of my information and according to the online verification of the Company's books, papers, minute books, forms and returns filed and other records maintained by the Company due to COVID-19 and circumstances arising therefrom and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents and management representation letter, I certify that:

- A. the Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with the relevant provisions of the Act & Rules made thereunder in respect of the following, as per details mentioned below:-
 1. it's status under the Act-;

Deepak Khaitan & Co. LLP

LLP Identification No. AAU-7316

+91 90070 55560/ 98748 47954/ 033 - 4004 8935 @: khaitan52@gmail.com/officedkk@gmail.com

GEM House, 5B Russell Street, Unit 7B, 7th Floor, Kolkata – 700 071

2. maintenance of the registers/ records & making entries therein within the time prescribed therefor;
3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/ beyond the prescribed time - There is no specific provision in the existing Form MGT-7 to state the filing of forms and returns;
4. calling/ convening/ holding meetings of Board of Directors or its committees and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceeding have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed. No circular resolution or resolution by postal ballot were passed during the financial year;
5. closure of Register of Members/security holders as the case may be;
6. advances/ loans to its directors and/ or persons or firms or companies referred in Section 185 of the Act, wherever applicable;
7. contracts/ arrangements with related parties as specified in Section 188 of the Act, wherever applicable;
8. issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances - There was no instance of buy back of securities/ redemption of debentures/ alteration or reduction of share capital and conversion of shares/ securities;
9. keeping in abeyance the rights to dividend, right shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act - There were no transactions necessitating the Company to keep

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in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares;

10.declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education- and Protection Fund in accordance with Section 125 of the Act - The Company did not declare / paid any dividend during the aforesaid financial year. The Company was not required to transfer any amount to Investor Education and Protection Fund;

11.signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof - The signing of the audited financial statement of the Company was as per the provisions of Section 134 of the Act ;

12.constitution/ appointment / re-appointments/ retirement/ filling up of casual vacancy/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them, wherever applicable.

13.appointment/re-appointment of auditor as per the provisions of section 139 of the Act during the aforesaid financial year. There was no instance of filling up of casual vacancy of auditor during the aforesaid financial year;

14.approvals required to be taken from the Registrar of Companies, the Calcutta Stock Exchange Ltd and National Company Law Tribunal. The Scheme of Amalgamation between Ganges Securities Limited ("Transferee Company") and Uttar Pradesh Trading Company Limited ("Transferor Company") and their respective Shareholders and Creditors under Section 230 to 232 of the Companies Act, 2013 and other relevant provisions of the Companies Act, 2013 has been approved by Hon'ble National Company Law Tribunal, Allahabad Bench vide order passed on 3rd September, 2021. There were no instances where the Company has obtained any approvals of Court,

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the Central Government, Regional Director, or such other authorities prescribed under the various provisions of the Act during the financial year;

15. acceptance/renewal/repayment of deposits – There was no instance of acceptance/renewal/repayment of deposits during the financial year;

16. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable;

17. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act, wherever applicable;

18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company - There was no alteration of Memorandum and / or Articles of Association of the Company during the aforesaid financial year.

For Deepak Khaitan & Co. LLP

Company Secretaries

ICSI Unique Code No.: L2020WB008100

Signature: SHRUTI SINGHANIA
2021.11.29 17:43:39 +05'30'

Name : CS Shruti Singhania

(Designated Partner)

ICSI Unique Code No.: I2017WB1592300

A.C.S. No. : 49632

C.P. No. : 18028

PR No. : 1552/2021

UDIN : A049632C001583285

Place: Kolkata

Date: 29.11.2021