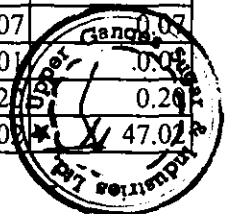


**UPPER GANGES SUGAR & INDUSTRIES LIMITED**

Scrip Code : 530505, UPERGANGES, 10031097

Quarter ended : 30th September, 2006

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares	
					As a percentage of (A+B)	As a percentage of (A+B+C)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group</b>					
<b>(1)</b>	<b>Indian</b>					
(a)	Individuals/Hindu Undivided Family	8	779184	453559	6.74	6.74
(b)	Central Government/ State Government(s)	--	--	--	--	--
(c)	Bodies Corporate	17	4904022	4904022	42.43	42.43
(d)	Financial Institutions/Banks	--	--	--	--	--
(e)	Any Other (specify)	--	--	--	--	--
	<b>Sub-Total (A)(1)</b>	<b>25</b>	<b>5683206</b>	<b>5357581</b>	<b>49.17</b>	<b>49.17</b>
<b>(2)</b>	<b>Foreign</b>					
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	--	--	--	--	--
(b)	Bodies Corporate	--	--	--	--	--
(c)	Institutions	--	--	--	--	--
(d)	Any Other (specify)	--	--	--	--	--
	<b>Sub-Total (A)(2)</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>	<b>--</b>
	<b>Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)</b>	<b>25</b>	<b>5683206</b>	<b>5357581</b>	<b>49.17</b>	<b>49.17</b>
<b>(B)</b>	<b>Public Shareholding</b>					
<b>(1)</b>	<b>Institutions</b>					
(a)	Mutual Funds/UTI	2	96451	95601	0.83	0.83
(b)	Financial Institutions/Banks	4	54581	54261	0.47	0.47
(c)	Central Government/ State Government(s)	--	--	--	--	--
(d)	Venture Capital Funds	--	--	--	--	--
(e)	Insurance Companies	2	288624	288624	2.50	2.50
(f)	Foreign Institutional Investors					
(g)	Foreign Venture Capital Investors	--	--	--	--	--
(h)	Any Other					
	<b>Sub-Total (B)(1)</b>	<b>8</b>	<b>439656</b>	<b>438486</b>	<b>3.80</b>	<b>3.80</b>
<b>(2)</b>	<b>Non-institutions</b>					
(a)	Bodies Corporate	709	1433218	1393580	12.40	12.40
(b)	Individuals-					
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	8631	2363405	2230082	20.45	20.45
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	25	1550980	1434139	13.42	13.42
(c)	Any Other					
	NRI - Repatriable	81	55300	55298	0.48	0.48
	NRI - Non-repatriable	25	7664	7664	0.07	0.07
	Pakistani Nationals	2	810	0	0.01	0.01
	OCBs	1	23000	0	0.20	0.20
	<b>Sub-Total (B)(2)</b>	<b>9474</b>	<b>5434377</b>	<b>5120763</b>	<b>47.02</b>	<b>47.02</b>



Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares	
					As a percentage of (A+B)	As a percentage of (A+B+C)
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	9482	5874033	5559249	50.83	50.83
	<b>Total (A)+(B)</b>	9507	11557239	10916830	100.00	100.00
(C)	<b>Shares held by Custodians and against which Depository Receipts have been issued</b>	N.A.				
	<b>Grand Total (A)+(B)+(C)</b>	9507	11557239	10916830	100.00	100.00



(I)(b) **Statement showing Shareholding of persons belonging to the category  
"Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Sutlej Industries Ltd.	1022016	8.84
2	Darbhanga Marketing Co. Ltd.	858845	7.43
3	Hargon Inv. & Trdg. Co. Ltd.	657966	5.69
4	New India Sugar Mills Ltd.	445500	3.85
5	RTM Inv. & Trdg. Co. Ltd.	412430	3.57
6	SCM Inv. & Trdg. Co. Ltd.	349971	3.03
7	Smt. Nandini Nopany	277200	2.40
8	Yashovardhan Inv. & Trdg. Co. Ltd.	260965	2.26
9	Champaran Marketing Co. Ltd.	260841	2.26
10	Smt. Nandini Nopany	235620	2.04
11	OSM Inv. & Trdg. Co. Ltd.	234891	2.03
12	Shital Commercial Ltd.	195602	1.69
13	Dr. K.K. Birla	101970	0.88
14	Narkatiaganj Farms Ltd.	86410	0.75
15	Dr. K.K. Birla	75759	0.66
16	Rajpur Farms Ltd.	66776	0.58
17	Smt. Nandini Nopany	43425	0.38
	C/o. Chandra Shekhar Charity Trust		
18	Shri Chandra Shekhar Nopany	34600	0.30
19	The Oudh Sugar Mills Ltd.	18562	0.16
20	La Monde Trdg. & Invts. Pvt. Ltd.	15180	0.13
21	Wasmen Technologies Pvt. Ltd.	7920	0.07
22	MoneyLine Finvest Pvt. Ltd.	7920	0.07
23	Shri Chandra Shekhar Nopany	5610	0.05
	C/o. Urvi Nopany Benefit Trust		
24	Shri Chandra Shekhar Nopany	5000	0.04
25	Uttam Commercial Ltd.	2227	0.02
	<b>TOTAL</b>	<b>5683206</b>	<b>49.17</b>



(I)(c) **Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Navjeevan Medical Institute	643317	5.57
2	Life Insurance Corporation of India	288597	2.50
3	Infra Leas Fin Ser Ltd.	279458	2.42
4	Shri Devendra Bhandari	151000	1.31
	<b>TOTAL</b>	<b>1362372</b>	<b>11.79</b>

(I)(d) **Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of Shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	RTM Investment & Trading Co. Ltd.	2678	0.02
2	SCM Investment & Trading Co. Ltd.	2657	0.02
3	Champan Marketing Co. Ltd.	918	0.01
	<b>TOTAL</b>	<b>6253</b>	<b>0.05</b>



(II)(a) **Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADDRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A.			
	<b>TOTAL</b>			

(II)(b) **Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A.			
	<b>TOTAL</b>			

