

UPPER GANGES SUGAR & INDUSTRIES LIMITED

Scrip Code : 530505, UPERGANGES, 10031097

Quarter ended : 31st December, 2006

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares	
					As a percentage of (A+B)	As a percentage of (A+B+C)
(A)	Shareholding of Promoter and Promoter Group					
(1)	Indian					
(a)	Individuals/Hindu Undivided Family	7	779184	730759	6.74	6.74
(b)	Central Government/ State Government(s)	--	--	--	--	--
(c)	Bodies Corporate	17	4904022	4904022	42.43	42.43
(d)	Financial Institutions/Banks	--	--	--	--	--
(e)	Any Other (specify)	--	--	--	--	--
	Sub-Total (A)(1)	24	5683206	5634781	49.17	49.17
(2)	Foreign					
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	--	--	--	--	--
(b)	Bodies Corporate	--	--	--	--	--
(c)	Institutions	--	--	--	--	--
(d)	Any Other (specify)	--	--	--	--	--
	Sub-Total (A)(2)	--	--	--	--	--
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	24	5683206	5634781	49.17	49.17
(B)	Public Shareholding					
(1)	Institutions					
(a)	Mutual Funds/UTI	2	96451	95601	0.83	0.83
(b)	Financial Institutions/Banks	4	79581	79261	0.69	0.69
(c)	Central Government/ State Government(s)	--	--	--	--	--
(d)	Venture Capital Funds	--	--	--	--	--
(e)	Insurance Companies	2	397237	397237	3.44	3.44
(f)	Foreign Institutional Investors					
(g)	Foreign Venture Capital Investors	--	--	--	--	--
(h)	Any Other					
	Sub-Total (B)(1)	8	573269	572099	4.96	4.96
(2)	Non-institutions					
(a)	Bodies Corporate	610	1270964	1231000	11.00	11.00
(b)	Individuals-					
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh	8991	2414848	2286021	20.89	20.89
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	27	1534467	1417626	13.28	13.28
(c)	Any Other					
	NRI - Repatriable	79	49115	49113	0.42	0.42
	NRI - Non-repatriable	23	7560	7560	0.07	0.07
	Pakistani Nationals	2	810	0	0.01	0.01
	OCBs	1	23000	0	0.20	0.20
	Sub-Total (B)(2)	9733	5300764	4991320	45.87	45.87
	Total Public Shareholding (B)=(B)(1)+(B)(2)	9741	5874033	5563419	50.83	50.83
	Total (A)+(B)	9765	11557239	11198200	100.00	100.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued	N.A.				
	Grand Total (A)+(B)+(C)	9765	11557239	11198200	100.00	100.00



(I)(b) **Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Sutlej Industries Ltd.	1022016	8.84
2	Darbhanga Marketing Co. Ltd.	858845	7.43
3	Hargon Inv. & Trdg. Co. Ltd.	657966	5.69
4	Smt. Nandini Nopany	512820	4.44
5	New India Sugar Mills Ltd.	445500	3.85
6	RTM Inv. & Trdg. Co. Ltd.	412430	3.57
7	SCM Inv. & Trdg. Co. Ltd.	349971	3.03
8	Yashovardhan Inv. & Trdg. Co. Ltd.	260965	2.26
9	Champaran Marketing Co. Ltd.	260841	2.26
10	OSM Inv. & Trdg. Co. Ltd.	234891	2.03
11	Shital Commercial Ltd.	195602	1.69
12	Dr. K.K. Birla	101970	0.88
13	Narkatiaganj Farms Ltd.	86410	0.75
14	Dr. K.K. Birla	75759	0.66
15	Rajpur Farms Ltd.	66776	0.58
16	Smt. Nandini Nopany	43425	0.38
	C/o. Chandra Shekhar Charity Trust		
17	Shri Chandra Shekhar Nopany	34600	0.30
18	The Oudh Sugar Mills Ltd.	18562	0.16
19	La Monde Trdg. & Invt. Pvt. Ltd.	15180	0.13
20	Wasmen Technologies Pvt. Ltd.	7920	0.07
21	MoneyLine Finvest Pvt. Ltd.	7920	0.07
22	Shri Chandra Shekhar Nopany	5610	0.05
	C/o. Urvi Nopany Benefit Trust		
23	Shri Chandra Shekhar Nopany	5000	0.04
24	Uttam Commercial Ltd.	2227	0.02
	TOTAL	5683206	49.17

(I)(c) **Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Navjeevan Medical Institute	643317	5.57
2	Life Insurance Corporation of India	397210	3.44
3	Infra Leas Fin Ser Ltd.	279458	2.42
4	Shri Devendra Bhandari	151000	1.31
	TOTAL	1470985	12.73

(I)(d) **Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Number of Shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	RTM Investment & Trading Co. Ltd.	2678	0.02
2	SCM Investment & Trading Co. Ltd.	2657	0.02
3	Champaran Marketing Co. Ltd.	918	0.01
	TOTAL	6253	0.05



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADDRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A.			
	TOTAL			

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
	N.A.			
	TOTAL			

new distribution of shareholding/skg (31.12.2006)

