

(I)(a) Statement showing Shareholding Pattern

Name of the Company : UPPER GANGES SUGAR & INDUSTRIES LIMITED
Scrip Code :530505(BSE) : UPERGANGES (NSE) Quarter Ended :30th September, 2008

Category Code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares	
					As a percentage of (A+B)	As a percentage of (A+B+C)
(A)	Shareholding of Promoter and Promoter Group					
(1)	Indian					
(a)	Individuals/ Hindu Undivided Family	7	779184	730759	6.742	6.742
(b)	Central Government/ State Government(s)	0	0	0	0.000	0.000
(c)	Bodies Corporate	16	4904022	4904022	42.432	42.432
(d)	Financial Institutions/ Bank	0	0	0	0.000	0.000
(e)	Any other (specify)	0	0	0	0.000	0.000
	Sub-Total(A)(1)	23	5683206	5634781	49.174	49.174
(2)	Foreign					
(a)	Individuals (non-Resident Individuals/ Foreign Individuals)	0	0	0	0.000	0.000
(b)	Bodies Corporate	0	0	0	0.000	0.000
(c)	Institutions	0	0	0	0.000	0.000
(d)	Any other (specify)	0	0	0	0.000	0.000
	Sub-Total(A)(2)	0	0	0	0.000	0.000
	Total Shareholding of Promoter Group (A)=(A)(1)+(A)(2)	23	5683206	5634781	49.174	49.174

cont..2



Category Code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares	
					As a percentage of (A+B)	As a percentage of (A+B+C)
(B)	Public Shareholding					
(1)	Institutions					
(a)	Mutual Funds/ UTI	2	43951	43101	0.380	0.380
(b)	Financial Institutions/ Bank	3	368	48	0.003	0.003
(c)	Central Government/ State Government(s)	0	0	0	0.000	0.000
(d)	Venture Capital Fund	0	0	0	0.000	0.000
(e)	Insurance Companies	2	397237	397237	3.437	3.437
(f)	Foreign Institutional Investors	0	0	0	0.000	0.000
(g)	Foreign Vetur Capital Investors	0	0	0	0.000	0.000
(h)	Any other - Specify	0	0	0	0.000	0.000
	Sub-Total(B)(1)	7	441556	440386	3.821	3.821
(2)	Non-Institutions					
(a)	Bodies Corporate	447	1513686	1473741	13.097	13.097
(b)	Individuals - i. Individuals shareholders holding nominal share capital upto Rs.1 lakh	8193	2477560	2363367	21.437	21.437
	ii. Individuals shareholders holding nominal share capital in excess of Rs.1 lakh	28	1364698	1236257	11.808	11.808
(c)	Any other - NRI - Rep	70	47345	47343	0.410	0.410
	NRI - Non-Rep	17	5378	5378	0.047	0.047
	Foreign Nationals	2	810	0	0.007	0.007
	O. C. B's	1	23000	23000	0.199	0.199
	Sub-Total(B)(2)	8758	5432477	5149086	47.005	47.005

cont..3



Category Code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares	
					As a percentage of (A+B)	As a percentage of (A+B+C)
	Total Public Shareholding (B)=(B)(1)+(B)(2)	8765	5874033	5589472	50.826	50.826
(C)	Shares held by Custodian and against which Depository Receipts have been issued	0	0	0	0.000	0.000
	TOTAL (A)+(B)+(C)	8788	11557239	11224253	100.000	100.000



(I)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	NEW INDIA RETAILING AND INVESTMENT LTD	1304345	11.286
2	SIL INVESTMENTS LIMITED	1022016	8.843
3	HARGAON INVESTMENT & TRADING CO. LTD.	657966	5.693
4	NANDINI NOPANY	512820	4.437
5	RTM INVESTMENT & TRADING CO. LTD.	412430	3.569
6	SCM INVESTMENT & TRADING CO. LTD.	349971	3.028
7	YASHOVARDHAN INVESTMENT & TRADING CO. LTD.	260965	2.258
8	CHAMPARAN MARKETING CO. LTD.	260841	2.257
9	OSM INVESTMENT & TRADING CO. LTD.	234891	2.032
10	SHITAL COMMERCIAL LIMITED	195602	1.692
11	KRISHNA KUMAR BIRLA	101970	0.882
12	NARKATIAGANJ FARMS LIMITED	86410	0.748
13	KRISHNA KUMAR BIRLA	75759	0.656
14	RAJPUR FARMS LIMITED	66776	0.578
15	NANDINI NOPANY	43425	0.376
16	CHANDRA SHEKHAR NOPANY	34600	0.299
17	THE OUDH SUGAR MILLS LIMITED	18562	0.161
18	LA MONDE TRADING & INVESTMENTS PRIVATE LTD	15180	0.131
19	MONEYLINE FINVEST PRIVATE LIMITED	7920	0.069
20	WASMEN TECHNOLOGIES PRIVATE LIMITED	7920	0.069
21	CHANDRA SHEKHAR NOPANY TRUSTEE OF URVI NOPA	5610	0.049
22	CHANDRA SHEKHAR NOPANY	5000	0.043
23	UTTAM COMMERCIAL LTD.	2227	0.019
	TOTAL	5683206	49.174

(I)(c) Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	NAVJEEWAN MEDICAL INSTITUTE	718317	6.215
2	LIFE INSURANCE CORPORATION OF INDIA	397210	3.437
3	INFRASTRUCTURE & LEASING & FINANCIAL SERVICES L	277458	2.401
4	JM FINANCIAL SERVICES PRIVATE LIMITED	167014	1.445
5	KANTILAL CHHAGANLAL SECURITIES PRIVATE LTD	120009	1.038
	TOTAL	1680008	14.536

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	SCM INVESTMENT & TRADING CO. LTD.	Promoter	2657	0.023
2	RTM INVESTMENT & TRADING CO. LTD.	Promoter	2678	0.023
3	CHAMPARAN MARKETING CO. LTD.	Promoter	918	0.008
	TOTAL		6253	0.054



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above
1	N. A.	NIL	
2			
	TOTAL		

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc)	Number of shares underlying outstanding DRs	Shares underlying outstanding Drs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	N.A.			
2				

