

K. Bhansar

Assistant Secretary

(I)(a) **Statement showing Shareholding Pattern**

Name of the Company :	UPPER GANGES SUGAR & INDUSTRIES LTD
Scrip Code : 530505(Bse), UPERGANGES(Nse)	Quarter Ended : 30th June, 2009

Category Code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
(A)	Shareholding of Promoter and Promoter Group							
(1)	INDIAN							
(a)	Individuals/ Hindu Undivided Family	7	779184	730759	6.742	6.742	0	0.000
(b)	Central Government/ State Government(s)	0	0	0	0.000	0.000	0	0.000
(c)	Bodies Corporate	18	4906827	4906827	42.457	42.457	1152780	20.274
(d)	Financial Institutions/ Bank	0	0	0	0.000	0.000	0	0.000
(e)	Any other (specify)	0	0	0	0.000	0.000	0	0.000
	Sub-Total(A)(1)	25	5686011	5637586	49.199	49.199	1152780	20.274
(2)	FOREIGN							
(a)	Individuals (non-Resident Individuals/ Foreign Individuals)	0	0	0	0.000	0.000	0	0.000
(b)	Bodies Corporate	0	0	0	0.000	0.000	0	0.000
(c)	Institutions	0	0	0	0.000	0.000	0	0.000
(d)	Any other (specify)	0	0	0	0.000	0.000	0	0.000
	Sub-Total(A)(2)	0	0	0	0.000	0.000	0	0.000
	Total Shareholding of Promoter Group (A)=(A)(1)+(A)(2)	25	5686011	5637586	49.199	49.199	1152780	20.274

(B)	Public Shareholding						N.A.	N.A.
(1)	Institutions						N.A.	N.A.
(a)	Mutual Funds/ UTI	2	43951	43101	0.380	0.380	0	0.000
(b)	Financial Institutions/ Bank	3	368	48	0.003	0.003	0	0.000
(c)	Central Government/ State Government(s)	0	0	0	0.000	0.000	0	0.000
(d)	Venture Capital Fund	0	0	0	0.000	0.000	0	0.000
(e)	Insurance Companies	2	397237	397237	3.437	3.437	0	0.000
(f)	Foreign Institutional Investors	0	0	0	0.000	0.000	0	0.000

(g)	Foreign Vetur Capital Investors	0	0	0	0.000	0.000	0	0.000
(h)	Any other (specify)	0	0	0	0.000	0.000	0	0.000
	Sub-Total(B)(1)	7	441556	440386	3.821	3.821	0	0.000
(2)	Non-Instiutions						N.A.	N.A.
(a)	Bodies Corporate	448	1399435	1359490	12.109	12.109	0	0.000
(b)	Individuals - i. Individual shareholders holding nominal share capital upto Rs.1 lakh	8059	2598117	2485083	22.480	22.480	0	0.000
	ii. Individual shareholders holding nominal share capital in excess of Rs.1 lakh	33	1393366	1339925	12.056	12.056	0	0.000
(c)	N.R. Holding	81	38754	37942	0.335	0.335	0	0.000
	Sub-Total(B)(2)	8621	5429672	5222440	46.981	46.981	0	0.000
	Total Public Shareholding (B)=(B)(1)+(B)(2)	8628	5871228	5662826	50.801	50.801	0	0.000
	TOTAL (A)+(B)	8653	11557239	11300412	100.000	100.000	0	0.000
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.000	0.000	0	0.000
	GRAND TOTAL (A)+(B)+(C)	8653	11557239	11300412	100.000	100.000	0	0.000

For Upper Ganges Sugar & Industries Ltd

Kshaver

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(I)(b)

Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Total shares held		Shares pledged or otherwise encumbered		
		Number	As a % of grand total	Number	As a percentage	As a % of grand total (A)+(B)+(C) of subclause (I)(a)
1	NEW INDIA RETAILING AND INVESTMENT LTD	1304345	11.286			
2	SIL INVESTMENTS LIMITED	1022016	8.843			
3	HARGAON INVESTMENT & TRADING CO. LTD.	657966	5.693	657966	11.572	5.693
4	NANDINI NOPANY	512820	4.437			
5	RTM INVESTMENT & TRADING CO. LTD.	412430	3.569			
6	SCM INVESTMENT & TRADING CO. LTD.	349971	3.028			
7	YASHOVARDHAN INVESTMENT & TRADING CO. LTD.	260965	2.258			
8	CHAMPARAN MARKETING CO. LTD.	260841	2.257	259923	4.571	2.249
9	OSM INVESTMENT & TRADING CO. LTD.	234891	2.032	234891	4.131	2.032
10	SHITAL COMMERCIAL LIMITED	195602	1.692			
11	KRISHNA KUMAR BIRLA	101970	0.882			
12	NARKATIAGANJ FARMS LIMITED	86410	0.748			
13	KRISHNA KUMAR BIRLA	75759	0.656			
14	RAJPUR FARMS LIMITED	66776	0.578			
15	NANDINI NOPANY	43425	0.376			
16	CHANDRA SHEKHAR NOPANY	34600	0.299			
17	THE OUDH SUGAR MILLS LIMITED	18562	0.161			
18	LA MONDE TRADING & INVESTMENTS PRIVATE LTD	15180	0.131			
19	MONEYLINE FINVEST PRIVATE LIMITED	7920	0.069			
20	WASMEN TECHNOLOGIES PRIVATE LIMITED	7920	0.069			
21	CHANDRA SHEKHAR NOPANY TRUSTEE OF URVI NOPA	5610	0.049			
22	CHANDRA SHEKHAR NOPANY	5000	0.043			
23	UTTAM COMMERCIAL LTD.	2227	0.019			
24	SONALI COMMERCIAL LTD.	1650	0.014			
25	PAVAPURI TRADING AND INVESTMENT COMPANY LTD.	1155	0.010			
	TOTAL	5686011	49.199	1152780	20.274	9.975

(I)(c) **Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	NAVJEEWAN MEDICAL INSTITUTE	718317	6.215
2	LIFE INSURANCE CORPORATION OF INDIA	397210	3.437
3	IL AND FS SECURITIES SERVICES LIMITED	277458	2.401
4	KANTILAL CHHAGANLAL SECURITIES PRIVATE LTD	119995	1.038
	TOTAL	1512980	13.091

(I)(d) **Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Category	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above
1	N.A.			
	TOTAL			

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(II)(c) Statement showing details of Depository Receipts (DRs)

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above
1	N. A.	NIL	
2			
	TOTAL		

(II)(d) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc)	Number of shares underlying outstanding DRs	Shares underlying outstanding Drs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above}
1	N.A.			
2				

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(III)(a) **Statement showing the voting pattern of shareholders, if more than one class of shares/ securities is issued by the issuer.**

Not Applicable since only one class of share / Security is issued by the Company

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