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## UPPER GANGES SUGAR & INDUSTRIES LTD.

9/1, R. N. MUKHERJEE ROAD,  
(5TH FLOOR)  
KOLKATA - 700 001

### THROUGH COURIER

October 11, 2010

The Secretary  
National Stock Exchange of India Ltd.  
Exchange Plaza, 5th Floor  
Plot No. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai 400 051

Dear Sir,

Sub : Distribution of shareholding  
for the quarter ended September 30, 2010

Pursuant to Clause 35 of the Listing Agreement, we send herewith the distribution of shareholding as on quarter ended September 30, 2010 in the prescribed format.

Thanking you,

Yours faithfully,  
For Upper Ganges Sugar & Industries Ltd.,

*Kavita Bhavsar*

Kavita Bhavsar  
Assistant Company Secretary

Encl : as above

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Regd. Office & Mills : P.O. SEOHARA (N. Rly), Dist. BIJNOR (U.P.), PIN - 246 746

(1) In Clause 35.

(a) for sub-clause (l)(a), the following sub-clause shall be substituted, namely :-

(l)(a) **Statement showing Shareholding Pattern**

<b>Name of the Company :</b>	<b>UPPER GANGES SUGAR &amp; INDUSTRIES LTD</b>
<b>Scrip Cd:530505(Bse),UPERGANGES(Nse),</b>	<b>Quarter Ended : 30th September, 2010</b>

Category Code	Category of Shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(A)	Shareholding of Promoter and Promoter Group							
(1)	<b>INDIAN</b>							
(a)	Individuals/ Hindu Undivided Family	5	856300	807875	7.409	7.409	0	0.000
(b)	Central Government/ State Government(s)	0	0	0	0.000	0.000	0	0.000
(c)	Bodies Corporate	17	4711225	4711225	40.764	40.764	0	0.000
(d)	Financial Institutions/ Bank	0	0	0	0.000	0.000	0	0.000
(e)	Any other (specify)	0	0	0	0.000	0.000	0	0.000
	Sub-Total(A)(1)	22	5567525	5519100	48.173	48.173	0	0.000
(2)	<b>FOREIGN</b>							
(a)	Individuals (non-Resident Individuals/ Foreign Individuals)	0	0	0	0.000	0.000	0	0.000
(b)	Bodies Corporate	0	0	0	0.000	0.000	0	0.000
(c)	Institutions	0	0	0	0.000	0.000	0	0.000
(d)	Any other (specify)	0	0	0	0.000	0.000	0	0.000
	Sub-Total(A)(2)	0	0	0	0.000	0.000	0	0.000



	Total Shareholding of Promoter Group (A)=(A)(1)+(A)(2)	22	5567525	5519100	48.173	48.173	0	0.000
(B)	Public Shareholding						N.A.	N.A.
(1)	Institutions						N.A.	N.A.
(a)	Mutual Funds/ UTI	2	43951	43101	0.380	0.380	0	0.000
(b)	Financial Institutions/ Bank	3	368	48	0.003	0.003	0	0.000
(c)	Central Government/ State Government(s)	0	0	0	0.000	0.000	0	0.000
(d)	Venture Capital Fund	0	0	0	0.000	0.000	0	0.000
(e)	Insurance Companies	2	397237	397237	3.437	3.437	0	0.000
(f)	Foreign Institutional Investors	0	0	0	0.000	0.000	0	0.000
(g)	Foreign Vetur Capital Investors	0	0	0	0.000	0.000	0	0.000
(h)	Any other (specify)	0	0	0	0.000	0.000	0	0.000
	<b>Sub-Total(B)(1)</b>	<b>7</b>	<b>441556</b>	<b>440386</b>	<b>3.821</b>	<b>3.821</b>	<b>0</b>	<b>0.000</b>
(2)	Non-Institutions						N.A.	N.A.
(a)	Bodies Corporate	483	1294027	1254082	11.197	11.197	0	0.000
(b)	i. Individual shareholders holding nominal share capital upto Rs.1 lakh	7692	2663374	2545474	23.045	23.045	0	0.000
	ii. Individual shareholders holding nominal share capital in excess of Rs.1 lakh	35	1550987	1509146	13.420	13.420	0	0.000
(c)	N.R. Holding	76	39770	38958	0.344	0.344	0	0.000
	<b>Sub-Total(B)(2)</b>	<b>8286</b>	<b>5548158</b>	<b>5347660</b>	<b>48.006</b>	<b>48.006</b>	<b>0</b>	<b>0.000</b>
	Total Public Shareholding (B)=(B)(1)+(B)(2)	8293	5989714	5788046	51.827	51.827	0	0.000
	<b>TOTAL (A)+(B)</b>	<b>8315</b>	<b>11557239</b>	<b>11307146</b>	<b>100.000</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.000	0.000	0	0.000
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>8315</b>	<b>11557239</b>	<b>11307146</b>	<b>100.000</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>



(b) for sub-clause (1)(b), the following sub-clause shall be substituted, namely :-

(1)(b)

**Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"**

Sr. No.	Name of the shareholder	Total shares held		Shares pledged or otherwise encumbered		
		Number	As a % of grand total	Number	As a percentage	As a % of grand total ( A )+( B )+( C ) of subclause (1)(a)
1	NEW INDIA RETAILING AND INVESTMENT LTD	1304345	11.286			
2	SIL INVESTMENTS LIMITED	1022016	8.843			
3	NANDINI NOPANY	767665	6.642			
4	HARGAON INVESTMENT & TRADING CO. LTD.	657966	5.693			
5	RTM INVESTMENT & TRADING CO. LTD.	412430	3.569			
6	SCM INVESTMENT & TRADING CO. LTD.	349971	3.028			
7	YASHOVARDHAN INVESTMENT & TRADING CO. LTD.	260965	2.258			
8	CHAMPARAN MARKETING CO. LTD.	260841	2.257			
9	OSM INVESTMENT & TRADING CO. LTD.	234891	2.032			
10	NARKATIAGANJ FARMS LIMITED	86410	0.748			
11	RAJPUR FARMS LIMITED	66776	0.578			
12	NANDINI NOPANY	43425	0.376			
13	CHANDRA SHEKHAR NOPANY	34600	0.299			
14	THE OUDH SUGAR MILLS LIMITED	18562	0.161			
15	LA MONDE TRADING & INVESTMENTS PRIVATE LTD	15180	0.131			
16	MONEYLINE FINVEST PRIVATE LIMITED	7920	0.069			
17	WASMEN TECHNOLOGIES PRIVATE LIMITED	7920	0.069			
18	CHANDRA SHEKHAR NOPANY TRUSTEE OF URVI NOPA	5610	0.049			
19	CHANDRA SHEKHAR NOPANY	5000	0.043			
20	UTTAM COMMERCIAL LTD.	2227	0.019			
21	SONALI COMMERCIAL LTD.	1650	0.014			
22	PAVAPURI TRADING AND INVESTMENT COMPANY LTD	1155	0.010			
	<b>TOTAL</b>	<b>5567525</b>	<b>48.173</b>	<b>0</b>	<b>0.000</b>	<b>0.000</b>

(1)(c)

**Statement showing Shareholding of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above
1	NAVJEEWAN MEDICAL INSTITUTE	718317	6.215
2	LIFE INSURANCE CORPORATION OF INDIA	397210	3.437
3	IL AND FS SECURITIES SERVICES LIMITED	277458	2.401
	<b>TOTAL</b>	<b>1392985</b>	<b>12.053</b>

(1)(d) **Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Category	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above
1	N.A.			
	<b>TOTAL</b>		<b>0</b>	



(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C))
1	N. A.	NIL	
2			
	<b>TOTAL</b>		

(II)(b) Statement showing Holdings of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc) *	Number of shares underlying outstanding DRs	Shares underlying outstanding Drs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	N.A.			
2				

(III)(a) Statement showing the voting pattern of shareholders, if more than one class of shares/ securities is issued by the issuer.

Not Applicable since only one class of share / Security is issued by the Company

