



Introductory sub-table (I)(a)			
Name of the Company: UPPER GANGES SUGAR & INDUSTRIES LIMITED			
Scrip Code, Name of the scrip, class of security: 530505 (BSE), UPERGANGES (NSE) Equity Shares, 10031097(CSE)			
Quarter ended: 31-12-2013			
	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Partly paid-up shares:-			
Held by promoter/promoter	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Outstanding convertible securities:-			
Held by promoter/promoter	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Warrants:-			
Held by promoter/promoter	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total	NIL	NIL	NIL
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	11557239		100

**Statement Showing Shareholding Pattern
Table I(a)**

Category code (I)	Category of shareholder (II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C) (VII)	Number of shares	As a percentage (IX) = (VIII/IV)* 100
(A)	<u>Shareholding of Promoter and Promoter Group</u>							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	5	864,300	864,300	7.48	7.48	-	-
(b)	Central Government/ State	-	-	-	-	-	-	-
(c)	Bodies Corporate	20	5,650,004	5,650,004	48.89	48.89	-	-
(d)	Financial Institutions/ Banks							
(e)	Any Other (specify) Person acting in concern	-	-	-	-	-	-	-
	Sub-Total (A)(1)	25	6,514,304	6,514,304	56.37	56.37	-	-
2	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	-	-	-	-	-	-	-
(b)	Bodies Corporate							
(c)	Institutions	-	-	-	-	-	-	-
(d)	Qualified Foreign Investors	-	-	-	-	-	-	-
(e)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (A)(2)	-	-	-	-	-	-	-
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	25	6,514,304	6,514,304	56.366	56.366	-	-
(B)	<u>Public shareholding</u>							
1	Institutions							
(a)	Mutual Funds/ UTI	1	850	-	0.01	0.01	-	-
(b)	Financial Institutions/ Banks	3	368	48	0.00	0.00	-	-
(c)	Central Government/ State	-	-	-	-	-	-	-
(d)	Insurance Companies	1	397,210	397,210	3.44	3.44	-	-
(e)	FIs	-	-	-	-	-	-	-
(f)	Qualified Foreign Investors	-	-	-	-	-	-	-
(g)	Foreign Venture Capital							
(h)	Any Other (specify)	-	-	-	-	-	-	-
	Sub-Total (B)(1)	5	398,428	397,258	3.45	3.45	-	-



**Statement Showing Shareholding Pattern
Table I(a)**

Category code (I)	Category of shareholder (II)	Number of shareholders (III)	Total number of shares (IV)	Number of shares held in dematerialized form (V)	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C) (VII)	Number of shares	As a percentage (IX) = (VIII/IV)* 100
2	Non-institutions							
(a)	Bodies Corporate	249	687,124	647,179	5.95	5.95	-	-
(b)	Individuals							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	6,543	2,196,908	2,090,497	19.01	19.01	-	-
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1	35	1,509,002	1,467,161	13.06	13.06	-	-
(c)	Any Other (Specify) 1.Directors	1	750	750	0.01	0.01	-	-
	2. Relatives	1	1,000	-	0.01	0.01	-	-
	3. Clearing Member	24	6,461	6,461	0.06	0.06	-	-
	4. Trust	-	-	-	-	-	-	-
	5. Office Bearers	-	-	-	-	-	-	-
	6. NRIs	49	43,903	43,901	0.38	0.38	-	-
	7. NRN	12	4,650	4,650	0.04	0.04	-	-
	8. Foreign National	2	810	-	0.01	0.01	-	-
	9. Foreign Company	-	-	-	-	-	-	-
	10. HUF	235	193,899	193,899	1.68	1.68	-	-
	Sub-Total (B)(2)	7,151	4,644,507	4,454,498	40.19	40.19	-	-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	7,156	5,042,935	4,851,756	43.63	43.63	-	-
	TOTAL (A)+(B)	7,181	11,557,239	11,366,060	100.00	100.00	0.00	0.00
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
	1 Promoter & Promoter Group							
	2 Public							
	GRAND TOTAL (A)+(B)+(C)	7,181	11,557,239	11,366,060	100.00	100.00	0.00	0.00



(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)		Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage (VI)=(V)/(II)*100	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(II)*100	(VII)	(IX)	(X)	(XI)	(XII)
1	SIL INVESTMENTS LIMITED	1547016	13.39	0	0	0	0	0	0	13.39
2	NEW INDIA RETAILING AND INVESTMENT LTD	969021	8.38	0	0	0	0	0	0	8.38
3	NANDINI NOPANY	811090	7.02	0	0	0	0	0	0	7.02
4	HARGAON INVESTMENT & TRADING CO. LTD.	657966	5.69	0	0	0	0	0	0	5.69
5	YASHOVARDHAN INVESTMENT & TRADING CO. LTD.	658289	5.70	0	0	0	0	0	0	5.70
6	RTM INVESTMENT AND TRADING CO. LTD.	412430	3.57	0	0	0	0	0	0	3.57
7	SCM INVESTMENT & TRADING CO. LTD.	349971	3.03	0	0	0	0	0	0	3.03
8	CHAMPARAN MARKETING CO. LTD.	260841	2.26	0	0	0	0	0	0	2.26
9	OSM INVESTMENT & TRADING CO. LTD.	234891	2.03	0	0	0	0	0	0	2.03
10	NILGIRI PLANTATIONS LIMITED	138000	1.19	0	0	0	0	0	0	1.19
11	SIDH ENTERPRISES LTD.	104514	0.90	0	0	0	0	0	0	0.90
12	NARKATIAGANJ FARMS LIMITED	86410	0.75	0	0	0	0	0	0	0.75
13	RAJPUR FARMS LIMITED	66776	0.58	0	0	0	0	0	0	0.58
14	CHANDRA SHEKHAR NOPANY	39600	0.34	0	0	0	0	0	0	0.34
15	SHREE VIHAR PROPERTIES LTD	31535	0.27	0	0	0	0	0	0	0.27
16	LA MONDE TRADING & INVESTMENTS PRIVATE LTD	25180	0.22	0	0	0	0	0	0	0.22
17	THE OUDH SUGAR MILLS LIMITED	18562	0.16	0	0	0	0	0	0	0.16
18	CHANDRA SHEKHAR NOPANY TRUSTEE OF URVI NOPA	13610	0.12	0	0	0	0	0	0	0.12
19	UTTAM COMMERCIAL LTD.	2227	0.02	0	0	0	0	0	0	0.02
20	SONALI COMMERCIAL LTD.	1650	0.01	0	0	0	0	0	0	0.01
21	PAVAPURI TRADING AND INVESTMENT COMPANY LTD.	1155	0.01	0	0	0	0	0	0	0.01
22	RONSON TRADERS LIMITED	83508	0.72	0	0	0	0	0	0	0.72
23	DEEPSHIKHA TRADING CO. PRIVATE LIMITED	62	0.00	0	0	0	0	0	0	0.00
TOTAL		6514304	56.37	0	0.00	0.000	0.000	0	0.000	56.37

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

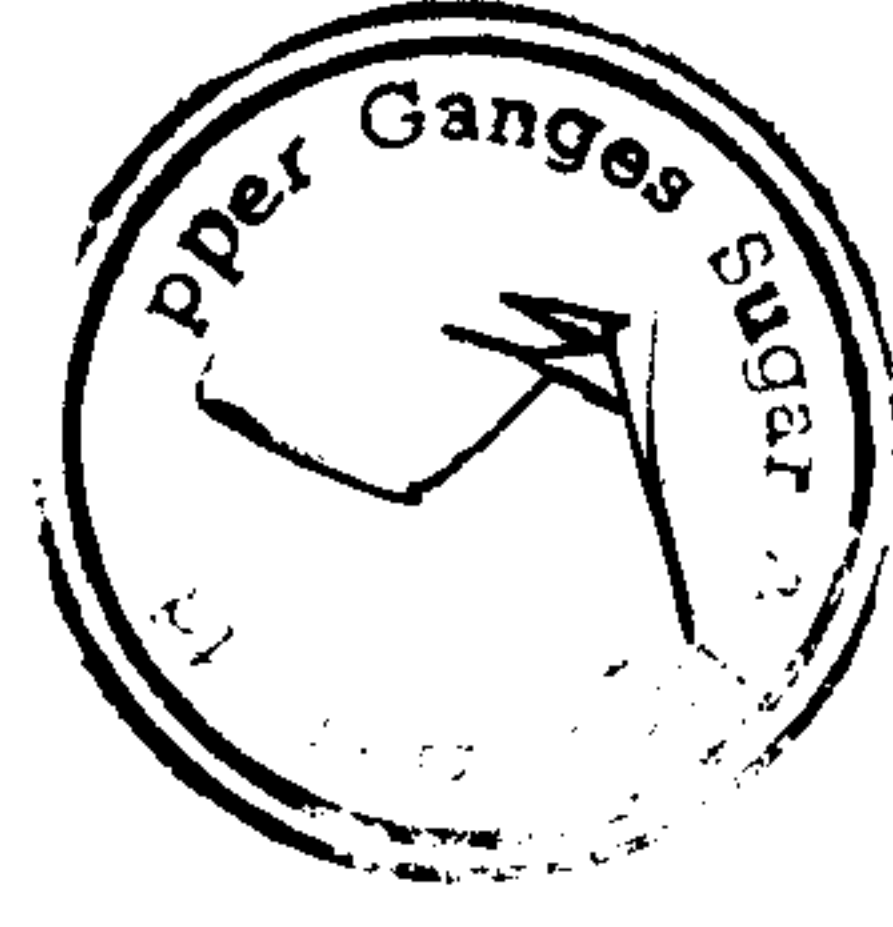


(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NAVJEEWAN MEDICAL INSTITUTE	718317	6.22	0	0	0	0	6.22
2	LIFE INSURANCE CORPORATION OF INDIA	397210	3.44	0	0	0	0	3.44
	TOTAL	1115527	9.65	0	0.00	0	0.000	9.65

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NAVJEEWAN MEDICAL INSTITUTE	718317	6.22	0	0	0	0	6.22
	TOTAL	718317	6.22	0	0	0	0	6.22



(I)(d) Statement showing details of Locked-in shares

Sr. No.	Name of the shareholder	Number of Locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.	0	0.00
TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.	0	0	
TOTAL				

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	N.A.		0	
TOTAL				

(III) (a) Statement showing the voting pattern of shareholders, if more than one class of shares/securities is issued by the issuer.

Category code	Category of shareholder	Number of Voting Rights held in each class of securities	Total Voting Rights i.e. (III+IV+V)
(I)	(II)	(III)	(VI)
	N A	-	(VI)

For Upper Ganges Sugar & Industries Ltd


Secretary