BirlaSugar
K.K. BIRLA GROUP OF SUGAR COMPANIES

UPPER/SE/2016-17/06 April 18, 2016

The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex, Bandra (E)
Mumbai 400 051

Stock Code : UPERGANGES

The Dy. General Manager
Corporate Relationship
Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street,
Fort
Mumbai-400 001

Stock Code : 530505

The Secretary
The Calcutta Stock
Exchange Ltd.
7, Lyons Range
Kolkata 700 001

Stock Code - 10031097

Dear Sir,

Sub : Distribution of Shareholding as on 31st March, 2016

Pursuant to Regulation 31 of the Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 we send herewith the distribution of shareholding as on quarter ended 31st March, 2016 in the prescribed format.

Please acknowledge receipt.

Yours faithfully,
For Upper Ganges Sugar & Industries Limited,

Santosh Kumar Poddar
Company Secretary

Encl : as above

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Upper Ganges Sugar And Industries Limited	
2	Scrip Code/Name of Scrip/Class of Security: EQUITY / BSE (530505) / NSE (UPERGANGES) / CSE (31097)	
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending 31st March 2016
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

		Promoter & Promoter Group	Public	Non Promoter Non Public
	Particulars	Yes/No	Yes/No	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No
4	Whether the Listed Entity has any shares in locked-in?	No	No	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	NA	NA
6	Whether the Listed Entity has issued any Differential Voting Rights?			

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Upper Ganges Sugar & Industries Ltd.

LINK INTIME INDIA PVT. LTD. KOLKATA

U pper Ganges Sugar And Industries Limited

Ta le I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
A)	Promoter & Promoter Group	25	6514304	0	0	6514304	56.3656	6514304	0	6514304	56.3656	0	56.3656	0	0.0000	0	0.0000	6514304
B)	Public	6162	5042935	0	0	5042935	43.6344	5042935	0	5042935	43.6344	0	43.6344	0	0.0000	NA	NA	4884219
C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	6187	11557239	0	0	11557239	100.0000	0	0	0	100.0000	0	100.0000	0	0.0000	0	0.0000	11398523

Upper Ganges Sugar & Industries Ltd.

LINK INTIME INDIA PVT LTD KOLKATA

Upper Ganges Sugar And Industries Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family		5	864300	0	0	864300	7.4784	864300	0	864300	7.4784	0	7.4784	0	0.0000	0	0.0000	864300
	(i) Nandini Nopany	ABMPN7831R	2	811090	0	0	811090	7.0180	811090	0	811090	7.0180	0	7.0180	0	0.0000	0	0.0000	811090
	(ii) Chandra Shekhar Nopany	ABJPN5385K	2	39600	0	0	39600	0.3426	39600	0	39600	0.3426	0	0.3426	0	0.0000	0	0.0000	39600
	(iii) Urvi Nopany	AJUPN1989J	1	13610	0	0	13610	0.1178	13610	0	13610	0.1178	0	0.1178	0	0.0000	0	0.0000	13610
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		20	5650004	0	0	5650004	48.8871	5650004	0	6E+06	48.8871	0	48.8871	0	0.0000	0	0.0000	5650004
(i)	Bodies Corporate		20	5650004	0	0	5650004	48.8871	5650004	0	6E+06	48.8871	0	48.8871	0	0.0000	0	0.0000	5650004
	(i) Sil Investments Limited	AABCS2899H	1	1547016	0	0	1547016	13.3857	1547016	0	2E+06	13.3857	0	13.3857	0	0.0000	0	0.0000	1547016
	(ii) New India Retailing And Investment Ltd	AAACN9970F	1	969021	0	0	969021	8.3845	969021	0	969021	8.3845	0	8.3845	0	0.0000	0	0.0000	969021
	(iii) Yashovardhan Investment &Trading Co Ltd	AAACY1135F	1	658289	0	0	658289	5.6959	658289	0	658289	5.6959	0	5.6959	0	0.0000	0	0.0000	658289
	(iv) Hargaon Investment & Tradind Co. Ltd.	AAACH7326R	1	657966	0	0	657966	5.6931	657966	0	657966	5.6931	0	5.6931	0	0.0000	0	0.0000	657966
	(v) Rtm Investment And Trading Co Ltd	AABCR3995D	1	412430	0	0	412430	3.5686	412430	0	412430	3.5686	0	3.5686	0	0.0000	0	0.0000	412430
	(vi) Scm Investment & Trading Co Ltd	AAECS1569D	1	349971	0	0	349971	3.0282	349971	0	349971	3.0282	0	3.0282	0	0.0000	0	0.0000	349971
	(vii) Champaran Marketing Co. Ltd	AABCC1958M	1	260841	0	0	260841	2.2569	260841	0	260841	2.2569	0	2.2569	0	0.0000	0	0.0000	260841
	(viii) Osm Investment & Trading Co Ltd.	AAACO3312L	1	234891	0	0	234891	2.0324	234891	0	234891	2.0324	0	2.0324	0	0.0000	0	0.0000	234891
	(ix) Nilgiri Plantations Limited	AAACN9968M	1	138000	0	0	138000	1.1941	138000	0	138000	1.1941	0	1.1941	0	0.0000	0	0.0000	138000
	(x) Sidh Enterprises Ltd	AAECS1577M	1	104514	0	0	104514	0.9043	104514	0	104514	0.9043	0	0.9043	0	0.0000	0	0.0000	104514

	(xi) Narkatiaganj Farms Limited	AABCN8163H	1	86410	0	0	86410	0.7477	86410	0	86410	0.7477	0	0.7477	0	0.0000	0	0.0000	86410
	(xii) Ronson Traders Limited	AABCR3999R	1	83508	0	0	83508	0.7226	83508	0	83508	0.7226	0	0.7226	0	0.0000	0	0.0000	83508
	(xiii) Rajpur Farms Limited	AACCR5726K	1	66776	0	0	66776	0.5778	66776	0	66776	0.5778	0	0.5778	0	0.0000	0	0.0000	66776
	(xiv) Shree Vihar Properties Ltd	AAECS1578E	1	31535	0	0	31535	0.2729	31535	0	31535	0.2729	0	0.2729	0	0.0000	0	0.0000	31535
	(xv) La Monde Trading & Investments Private Ltd	AAACL6886G	1	25180	0	0	25180	0.2179	25180	0	25180	0.2179	0	0.2179	0	0.0000	0	0.0000	25180
	(xvi) The Oudh Sugar Mills Limited	AABCT0813G	1	18562	0	0	18562	0.1606	18562	0	18562	0.1606	0	0.1606	0	0.0000	0	0.0000	18562
	(xvii) Uttam Commercial Ltd.	AAACU3603N	1	2227	0	0	2227	0.0193	2227	0	2227	0.0193	0	0.0193	0	0.0000	0	0.0000	2227
	(xviii) Sonali Commercial Ltd.	AAECS1576L	1	1650	0	0	1650	0.0143	1650	0	1650	0.0143	0	0.0143	0	0.0000	0	0.0000	1650
	(xix) Pavapuri Trading And Investment Company Ltd.	AABCP7400R	1	1155	0	0	1155	0.0100	1155	0	1155	0.0100	0	0.0100	0	0.0000	0	0.0000	1155
	(xx) Deepshikha Trading Co. Private Limited	AABCD0344C	1	62	0	0	62	0.0005	62	0	62	0.0005	0	0.0005	0	0.0000	0	0.0000	62
	Sub Total (A)(1)		**25**	**6514304**	**0**	**0**	**6514304**	**56.3656**	**6514304**	**0**	**7E+06**	**56.3656**	**0**	**56.3656**	**0**	**0.0000**	**0**	**0.0000**	**6514304**
2	**Foreign**																		
(a)	**Individuals (Non-Resident Individuals / Foreign Individuals)**		**0**	**0**	**0**	**0**	**0**	**0.0000**	**0**	**0**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**
(b)	**Government**		**0**	**0**	**0**	**0**	**0**	**0.0000**	**0**	**0**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**
(c)	**Institutions**		**0**	**0**	**0**	**0**	**0**	**0.0000**	**0**	**0**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**
(d)	**Foreign Portfolio Investor**		**0**	**0**	**0**	**0**	**0**	**0.0000**	**0**	**0**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**
(e)	**Any Other (Specify)**		**0**	**0**	**0**	**0**	**0**	**0.0000**	**0**	**0**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**
	Sub Total (A)(2)		**0**	**0**	**0**	**0**	**0**	**0.0000**	**0**	**0**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**	**0.0000**	**0**
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		**25**	**6514304**	**0**	**0**	**6514304**	**56.3656**	**6514304**	**0**	**7E+06**	**56.3656**	**0**	**56.3656**	**0**	**0.0000**	**0**	**0.0000**	**6514304**

areholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

yed on website of Stock Exchange(s)

ion 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Upper Ganges Sugar & Industries Ltd.

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Upper Ganges Sugar And Industries Limited

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Institutions																		
(a)	Mutual Fund		1	850	0	0	850	0.0074	850	0	850	0.0074	0	0.0074	0	0.0000	NA	NA	0
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(f)	Financial Institutions / Banks		2	320	0	0	320	0.0028	320	0	320	0.0028	0	0.0028	0	0.0000	NA	NA	0
(g)	Insurance Companies		1	397210	0	0	397210	3.4369	397210	0	397210	3.4369	0	3.4369	0	0.0000	NA	NA	397210
	(i) Life Insurance Corporation Of India	AAACL0582H		397210	0	0	397210	3.4369	397210	0	397210	3.4369	0	3.4369	0	0.0000	NA	NA	397210
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Sub Total (B)(1)		4	398380	0	0	398380	3.4470	398380	0	398380	3.4470	0	3.4470	0	0.0000	NA	NA	397210
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3	Non-Institutions																		
(a)	Individuals			0	0										0		NA	NA	

Upper Ganges Sugar & Industries Ltd.

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	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		5501	2205199	0	0	2205199	19.0807	2205199	0	2205199	19.0807	0	19.0807	0	0.0000	NA	NA	2098269
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		12	544147	0	0	544147	4.7083	544147	0	544147	4.7083	0	4.7083	0	0.0000	NA	NA	517147
	(i) Manu Gopaldas Chhabria	AACPC1492Q		142020	0	0	142020	1.2288	142020	0	142020	1.2288	0	1.2288	0	0.0000	NA	NA	142020
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e)	Any Other (Specify)		645	1895209	0	0	1895209	16.3985	1895209	0	1895209	16.3985	0	16.3985	0	0.0000	NA	NA	1871593
[I]	Trusts		1	718317	0	0	718317	6.2153	718317	0	718317	6.2153	0	6.2153	0	0.0000	NA	NA	718317
	(i) Navjeewan Medical Institute	AAATN2102N		718317	0	0	718317	6.2153	718317	0	718317	6.2153	0	6.2153	0	0.0000	NA	NA	718317
[II]	Foreign Nationals		4	810	0	0	810	0.0070	810	0	810	0.0070	0	0.0070	0	0.0000	NA	NA	540
[III]	Hindu Undivided Family		235	199250	0	0	199250	1.7240	199250	0	199250	1.7240	0	1.7240	0	0.0000	NA	NA	199250
[IV]	Non Resident Indians (Non Repat)		11	2487	0	0	2487	0.0215	2487	0	2487	0.0215	0	0.0215	0	0.0000	NA	NA	2487
[V]	Non Resident Indians (Repat)		36	104106	0	0	104106	0.9008	104106	0	104106	0.9008	0	0.9008	0	0.0000	NA	NA	104104
[VI]	Clearing Member		125	108524	0	0	108524	0.9390	108524	0	108524	0.9390	0	0.9390	0	0.0000	NA	NA	108524
[VII]	Market Maker		7	1651	0	0	1651	0.0143	1651	0	1651	0.0143	0	0.0143	0	0.0000	NA	NA	1651
[VIII]	Bodies Corporate		226	760064	0	0	760064	6.5765	760064	0	760064	6.5765	0	6.5765	0	0.0000	NA	NA	736720
	(i) Earthstone Holding (Two) Limited	AACCE1490K		141561	0	0	141561	1.2249	141561	0	141561	1.2249	0	1.2249	0	0.0000	NA	NA	141561
	(ii) Shri Parasram Holdings Pvt.Ltd.	AAACS4487J		115625	0	0	115625	1.0005	115625	0	115625	1.0005	0	1.0005	0	0.0000	NA	NA	115625
	Sub Total (B)(3)		6158	4644555	0	0	4644555	40.1874	4644555	0	4644555	40.1874	0	40.1874	0	0.0000	NA	NA	4487009
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		6162	5042935	0	0	5042935	43.6344	5042935	0	5042935	43.6344	0	43.6344	0	0.0000	NA	NA	4884219

. in Concert including their Shareholding (No. and %):

ıreholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

/ed on website of Stock Exchange(s).

persons:Institutions/Non Institutions holding more than 1% of total number of shares

pective columns to the extent information available and the balance to be disclosed as held by custodian.

U per Ganges Sugar And Industries Limited

Ta le IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note:

ed on website of Stock Exchange(s).

l holders holding more than 1% of total number of shares

may be disclosed in the respective columns to the extent information available

Upper Ganges Sugar & Industries Ltd.

SKS INTIMB INDIA PVT LTD KOLKATA

Table IIA - Unclaimed Details

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No of shareholders	No of Shares held

For Upper Ganges Sugar & Industries Ltd.

Company Secretary

LINK INTIME INDIA PVT. LTD. KOLKATA