

GANGES SECURITIES LIMITED

CIN – L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – gangessecurities@birlasugar.org; Website-www.birla-sugar.com

January 21, 2020

The Secretary
National Stock Exchange of India
Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex,
Bandra (E)
Mumbai 400 051

The Dy. General Manager
Corporate Relationship Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street, Fort,
Mumbai-400 001

The Secretary
The Calcutta Stock Exchange
Ltd.
7, Lyons Range
Kolkata 700 001

Symbol : GANGESSECU

Stock Code : 540647

Stock Code : 17434

Dear Sir,

Sub : Distribution of Shareholding as on December 31, 2019

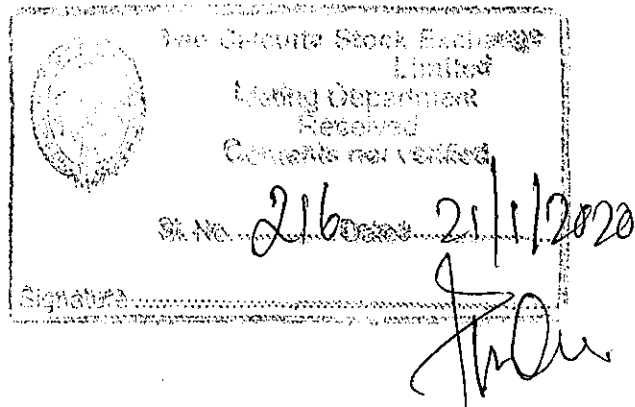
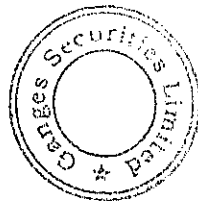
Pursuant to Regulation 31 of the Securities & Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith the distribution of shareholding for the quarter ended December 31, 2019 in the prescribed format.

Please acknowledge receipt.

Yours faithfully,
For **Ganges Securities Limited**

Vijaya Agarwala

Vijaya Agarwala
Company Secretary
ACS-38658



Encl.: as above

Corporate Office: Birla Building (5th Floor), 9/1, R N Mukherjee Road, Kolkata – 700 001

Phone: (033) 2248 7068; Fax: (033) 2248 6369

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Ganges Securities Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540647(BSE) GANGESSECU(NSE)
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31ST DECEMBER 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :02/01/2020 NSDL : 31/12/2019 CDSL :31/12/2019



Ganges Securities Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	Nb. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI) = (VII)+(X) As	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	25	6016970	0	0	6016970	60.1475	6016970	0	6016970	60.1475	0	60.1475	0	0.0000	0	0.0000	6016970
(B)	Public	5503	3986717	0	0	3986717	39.8525	3986717	0	3986717	39.8525	0	39.8525	0	0.0000	NA	NA	3876680
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	5528	10003687	0	0	10003687	100.0000	10003687	0	10003687	100.0000	0	100.0000	0	0.0000	0	0.0000	9893650

GENERATED ON :02/01/2020 NSDL : 31/12/2019 CDSL : 31/12/2019

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Ganges Securities Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI) = (VII)+(X) As	(XII)	(XIII)	(XIV)			
1	Indian																	
(a)	Individuals / Hindu Undivided Family		3	283606	0	0	283606	2.8350	283606	0	283606	2.8350	0	0.0000	0	0.0000	283606	
	Nandini Nopany	ABMPN7831R	1	237570	0	0	237570	2.3748	237570	0	237570	2.3748	0	0.0000	0	0.0000	237570	
	Chandra Shekhar Nopany	ABIPN5385K	1	34261	0	0	34261	0.3425	34261	0	34261	0.3425	0	0.0000	0	0.0000	34261	
	Urvi Nopany	AJUPN1989J	1	11775	0	0	11775	0.1177	11775	0	11775	0.1177	0	0.0000	0	0.0000	11775	
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (Specify)		22	5733364	0	0	5733364	57.3125	5733364	0	5733364	57.3125	0	0.0000	0	0.0000	5733364	
	Promoter Trust		2	464174	0	0	464174	4.6400	464174	0	464174	4.6400	0	0.0000	0	0.0000	464174	
	Chandra Shekhar Nopany -Trustee of Shekhar Family Trust	AAUTS8392K	1	464163	0	0	464163	4.6399	464163	0	464163	4.6399	0	0.0000	0	0.0000	464163	
	Chandra Shekhar Nopany -Trustee of Shruti Family Trust	AAUTS8386D	1	11	0	0	11	0.0001	11	0	11	0.0001	0	0.0000	0	0.0000	11	
	Bodies Corporate		20	5269190	0	0	5269190	52.6725	5269190	0	5269190	52.6725	0	0.0000	0	0.0000	5269190	
	Sil Investments Limited	AAACS2899H	1	1338430	0	0	1338430	13.3794	1338430	0	1338430	13.3794	0	0.0000	0	0.0000	1338430	
	New India Retailing And Investment Ltd	AAACN9970F	1	838367	0	0	838367	8.3806	838367	0	838367	8.3806	0	0.0000	0	0.0000	838367	
	Yashovardhan Investment & Trading Co. Ltd.	AAACY1135F	1	589759	0	0	589759	5.8954	589759	0	589759	5.8954	0	0.0000	0	0.0000	589759	
	Hargaon Investment & Trading Co. Ltd.	AAACH7326R	1	569252	0	0	569252	5.6904	569252	0	569252	5.6904	0	0.0000	0	0.0000	569252	
	Rtm Investment And Trading Co. Ltd.	AAACR3995D	1	356822	0	0	356822	3.5669	356822	0	356822	3.5669	0	0.0000	0	0.0000	356822	
	Ronson Traders Limited	AAACR3999R	1	327995	0	0	327995	3.2787	327995	0	327995	3.2787	0	0.0000	0	0.0000	327995	
	Scm Investment & Trading Co. Ltd.	AAECS1569D	1	302784	0	0	302784	3.0267	302784	0	302784	3.0267	0	0.0000	0	0.0000	302784	
	Champaran Marketing Co. Ltd.	AAACC1958M	1	225672	0	0	225672	2.2559	225672	0	225672	2.2559	0	0.0000	0	0.0000	225672	
	Osni Investment & Trading Co. Ltd.	AAACO3312L	1	203221	0	0	203221	2.0315	203221	0	203221	2.0315	0	0.0000	0	0.0000	203221	
	Nileini Plantations Limited	AAACN9968M	1	119394	0	0	119394	1.1935	119394	0	119394	1.1935	0	0.0000	0	0.0000	119394	
	Sigh Enterprises Ltd.	AAECS1577M	1	90423	0	0	90423	0.9039	90423	0	90423	0.9039	0	0.0000	0	0.0000	90423	
	Narikataganj Farms Limited	AAACN8163H	1	74760	0	0	74760	0.7473	74760	0	74760	0.7473	0	0.0000	0	0.0000	74760	
	Sonali Commercial Ltd.	AAECS1576L	1	66428	0	0	66428	0.6640	66428	0	66428	0.6640	0	0.0000	0	0.0000	66428	
	Rajpur Farms Limited	AAACR5726K	1	57773	0	0	57773	0.5775	57773	0	57773	0.5775	0	0.0000	0	0.0000	57773	
	Deepshikha Trading Co. Private Limited	AAACD0344C	1	40054	0	0	40054	0.4004	40054	0	40054	0.4004	0	0.0000	0	0.0000	40054	
	Shree Vihar Properties Ltd	AAECS1578E	1	27284	0	0	27284	0.2727	27284	0	27284	0.2727	0	0.0000	0	0.0000	27284	
	La Monde Trading & Investments Private Ltd	AAACL6886G	1	21785	0	0	21785	0.2178	21785	0	21785	0.2178	0	0.0000	0	0.0000	21785	
	Palash Securities Limited	AAICP0502H	1	16060	0	0	16060	0.1605	16060	0	16060	0.1605	0	0.0000	0	0.0000	16060	
	Uttam Commercial Ltd.	AAACU3603N	1	1927	0	0	1927	0.0193	1927	0	1927	0.0193	0	0.0000	0	0.0000	1927	
	Pavapuri Trading And Investment Company Ltd.	AAACP7400R	1	1000	0	0	1000	0.0100	1000	0	1000	0.0100	0	0.0000	0	0.0000	1000	
	Sub Total (A)(1)		25	6016970	0	0	6016970	60.1475	6016970	0	6016970	60.1475	0	0.0000	0	0.0000	6016970	
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		25	6016970	0	0	6016970	60.1475	6016970	0	6016970	60.1475	0	0.0000	0	0.0000	6016970	

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :02/01/2020

NSDL : 31/12/2019

CDSL :31/12/2019



Ganges Securities Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii) As a % of	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (xiv)	
								No. of Voting Rights		Total as a % of (A+B+C) (ix)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								Class eg: X	Class eg: Y									Total
1	Institutions																	
(a)	Mutual Fund		736	0	0	736	0.0074	736	0	736	0.0074	0	0.0000	NA	NA	0		
(b)	Venture Capital Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(c)	Alternate Investment Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(d)	Foreign Venture Capital Investors		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(e)	Foreign Portfolio Investor		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(f)	Financial Institutions / Banks		3	478	0	478	0.0048	478	0	478	0.0048	0	0.0000	NA	NA	200		
(g)	Insurance Companies		1	343654	0	343654	3.4353	343654	0	343654	3.4353	0	0.0000	NA	NA	343654		
	Life Insurance Corporation Of India	AAACL0582H	1	343654	0	343654	3.4353	343654	0	343654	3.4353	0	0.0000	NA	NA	343654		
(h)	Provident Funds/ Pension Funds		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(i)	Any Other (Specify)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Sub Total (B)(1)		5	344868	0	344868	3.4474	344868	0	344868	3.4474	0	0.0000	NA	NA	343854		
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
3	Non-Institutions																	
(a)	Individuals		0	0														
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		5084	1418396	0	1418396	14.1787	1418396	0	1418396	14.1787	0	0.0000	NA	NA	1352814		
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		16	910268	0	910268	9.0993	910268	0	910268	9.0993	0	0.0000	NA	NA	886908		
	G Shankar	AAPPS7304A		126187	0	126187	1.2614	126187	0	126187	1.2614	0	0.0000	NA	NA	126187		
	Manu Gopaldas Chhabria	AACPC1492Q		178017	0	178017	1.7795	178017	0	178017	1.7795	0	0.0000	NA	NA	178017		
	Mohan Gupta	AAGPG9057M		158516	0	158516	1.5846	158516	0	158516	1.5846	0	0.0000	NA	NA	158516		
(b)	NBFCs registered with RBI		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Trust Employee		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(e)	Any Other (Specify)		398	1313185	0	1313185	13.1270	1313185	0	1313185	13.1270	0	0.0000	NA	NA	1293104		
	Society(ies)		1	621466	0	621466	6.2124	621466	0	621466	6.2124	0	0.0000	NA	NA	621466		
	Navjeevan Medical Institute	AAATN2102N	1	621466	0	621466	6.2124	621466	0	621466	6.2124	0	0.0000	NA	NA	621466		
	Foreign Nationals		2	234	0	234	0.0023	234	0	234	0.0023	0	0.0000	NA	NA	0		
	Hindu Undivided Family		163	237830	0	237830	2.3774	237830	0	237830	2.3774	0	0.0000	NA	NA	237830		
	Sharad Kum Jain Sons Huf	AAMHS3178M	1	115200	0	115200	1.1516	115200	0	115200	1.1516	0	0.0000	NA	NA	115200		
	Non Resident Indians (Non Repat)		27	16188	0	16188	0.1618	16188	0	16188	0.1618	0	0.0000	NA	NA	16188		
	Non Resident Indians (Repat)		51	10640	0	10640	0.1064	10640	0	10640	0.1064	0	0.0000	NA	NA	10637		
	Clearing Member		20	8226	0	8226	0.0822	8226	0	8226	0.0822	0	0.0000	NA	NA	8226		
	Market Maker		1	438	0	438	0.0044	438	0	438	0.0044	0	0.0000	NA	NA	438		
	Bodies Corporate		133	418163	0	418163	4.1801	418163	0	418163	4.1801	0	0.0000	NA	NA	398319		
	Earthstone Holding (Two) Private Limited	AACCE1490K	1	122475	0	122475	1.2243	122475	0	122475	1.2243	0	0.0000	NA	NA	122475		
	Sub Total (B)(3)		5498	3641849	0	3641849	36.4051	3641849	0	3641849	36.4051	0	0.0000	NA	NA	3532826		
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		5503	3986717	0	3986717	39.8525	3986717	0	3986717	39.8525	0	0.0000	NA	NA	3876680		

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in Demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :02/01/2020

NSDL : 31/12/2019

CDSL :31/12/2019



Ganges Securities Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI) = (VII)+(X)	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding [C]= [C(1)+(C)(2)]		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :02/01/2020 NSDL : 31/12/2019 CDSL :31/12/2019

